

Small Self Administered Pension Scheme Private Company Investment Questionnaire



Wealth Options
Trustees Limited

As the sole member and beneficiary directing the investment of your Small Self Administered Pension Scheme, you should be aware that certain investment restrictions apply to your scheme in relation to an investment in a private company. Please complete the Declaration below in order that Wealth Options Trustees Ltd, as the Pensioner Trustee of your scheme, can determine whether the proposed investment in a private company can be made by your scheme.

Scheme Name:

Employer:

Member Trustee:

Details of the private company in which the scheme proposes to invest

Name:

Are you or anyone connected with you¹ already an investor (e.g. holds shared, loan notes, debentures, etc.) in this company? Yes No

Details of the proposed investment in the private company

Shares Loans Debentures Others

If Other, please give details

Amount of proposed investment: €

If the proposed investment in the company will be by way of securities, will they be issued by the company or acquired from an existing investor in the company?:

Issued by company Acquired from an existing investor

If the securities are to be acquired from an existing investor, are you or anyone connected with you, including your employer and associated companies, the vendor of part or all of the securities?

Yes No

If the proposed investment is shares in the company, what will be the issued share capital of the company after your scheme acquires shares in the company? €

Declaration

I declare that the answers provided in this Questionnaire are correct and complete to the best of my knowledge. I understand and accept that if the proposed investment does not comply with Revenue and tax legislation requirements, Wealth Options Trustees Ltd, as Pensioner Trustee of my scheme, can not consent to the proposed investment. I hereby acknowledge that Wealth Options Trustees Ltd ('WOTL') or any associated company who have common directors may be involved in either producing or promoting products that I have the option of investing in through my Small Self -Administered Pension Scheme. Details of WOTL or associated company's involvement are outlined in the Investment Memorandum. This should not present a conflict of interest as WOTL in its role as Pensioner Trustee only operate under the instruction of the Member Trustee.

Signature Required

(Signed)

Date

Data Protection Acts

The information that you have provided will be treated as confidential and retained by Wealth Options Trustees Ltd for the purposes of financial services or as required by law. You have a right to request a copy of the information we hold about you for which we may charge a small fee to have any inaccuracies in your information corrected.

¹Please see investment restrictions for an explanation of persons who are connected with you